UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

JOHN HANCOCK LIFE INSURANCE)
COMPANY, JOHN HANCOCK)
VARIABLE LIFE INSURANCE)
COMPANY, and MANULIFE)
INSURANCE COMPANY (f/k/a) Civil Action No. 05-11150-DPW
INVESTORS PARTNER LIFE) .
INSURANCE COMPANY),	,)
Plaintiffs,) REDACTED PUBLIC VERSION))
v.)
ABBOTT LABORATORIES,))
Defendant.	<i>)</i>)
<i>y</i>	,

AFFIDAVIT OF ERIC LORENZINI IN SUPPORT OF MOTION FOR PROTECTIVE ORDER REGARDING DEPOSITION TOPICS 1 AND 2 OF HANCOCK'S RULE 30(b)(6) DEPOSITION NOTICE (REDACTED PUBLIC VERSION)

- I, Eric Lorenzini, hereby state that:
- 1. I currently am employed as an associate at Munger, Tolles & Olson LLP. I submit this declaration in support of Defendant Abbott Laboratories' ("Abbott's") Motion for Protective Order Regarding Deposition Topics 1 and 2 of Hancock's Rule 30(b)(6) Deposition Notice. If called as a witness, I could and would testify competently to the facts stated herein.
- Attached hereto as Exhibit A is a true and correct copy of Abbott's
 Objections and Responses to Hancock's First for Request for Admissions, dated April 27, 2007.
- 3. Attached hereto as Exhibit B are true and correct copies of the Subpoena in a Civil Case to McKinsey & Company and Notice of Deposition of McKinsey & Company,

dated April 27, 2007.

- 4. Attached hereto as Exhibit C is a true and correct copy of the document entitled "Portfolio Review Meeting - March 7 - 9, 2001."
- 5. Attached hereto as Exhibit D is a true and correct copy of an email from Christopher Silber to Bruce McCarthy, Michael Blamesen, James Sullivan and Richard Marasco, dated March 6, 2001.
- 6. Attached hereto as Exhibit E are true and correct copies of excerpts of the deposition of Dr. Bruce McCarthy, taken September 29, 2006.
- 7. Attached hereto as Exhibit F is a true and correct copy of Abbott's Objections and Responses to Plaintiff's First Set of Interrogatories, dated December 31, 2005.
- 8. Attached hereto as Exhibit G are true and correct copies of excerpts of the deposition of John Leonard, taken November 30, 2006.
- 9. Attached hereto as Exhibit H are true and correct copies of excerpts of the deposition of Dr. Perry Nisen, taken November 22, 2006.
- 10. Attached hereto as Exhibit I are true and correct copies of excerpts of the deposition of Azmi Nabulsi, taken January 24, 2007.
- 11. Attached hereto as Exhibit J is a true and correct copy of the document entitled "ABT-594 Descriptive Memorandum - February 2001."
- 12. Attached hereto as Exhibit K is a true and correct copy of an email from Lynn Klotz to Stephen Blewitt, dated July 28, 2000.
- 13. Attached hereto as Exhibit L is a true and correct copy of a report from Stephen Blewitt and Scott Hartz to the John Hancock Life Insurance Company Bond & Corporate Finance Group, dated September 21, 2000.

14. Attached hereto as Exhibit M is a true and correct copy of an email from Karen Collari Troake to Eric Lorenzini, dated April 19, 2007.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct and that this affidavit is executed this 21st day of May, 2007, in Los Angeles, California.

Eric Lørenzini

CERTIFICATE OF SERVICE

I hereby certify that this document(s) filed through the ECF system will be sent electronically to the registered participants as identified on the Notice of Electronic Filing (NEF) and paper copies will be sent to those indicated as non registered participants on May 21, 2007.

Date: May 21, 2007.	
	/s/ Michael S. D'Orsi
	Michael S. D'Orsi

EXHIBIT A

CIVIL ACTION NO. 05-11150-DPW

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

JOHN HANCOCK LIFE INSURANCE COMPANY, JOHN HANCOCK VARIABLE LIFE INSURANCE COMPANY, and MANULIFE INSURANCE COMPANY (f/k/a INVESTORS PARTNER INSURANCE COMPANY),

Plaintiffs,

V.

ABBOTT LABORATORIES,

Defendants.

ABBOTT LABORATORIES' RESPONSES AND OBJECTIONS TO PLAINTIFFS' FIRST SET OF REQUESTS FOR ADMISSIONS

Defendant Abbott Laboratories, by its undersigned counsel and pursuant to Rule 36 of the Rules of Federal Procedure and Local Rules, hereby responds and objects to the First Set of Requests for Admission of Plaintiffs John Hancock Life Insurance Company, John Hancock Variable Life Insurance Company, and Manulife Insurance Company ("Hancock").

GENERAL OBJECTIONS AND RESPONSES

The following General Objections and Responses apply to each and every one of the numbered Requests below, and the General Objections and Responses shall be deemed continuing as to each Request and are not waived, or in any way limited, by the specific objections and answers to any individual Request.

Abbott objects to the "Definitions" set forth in Hancock's Requests, as well as the 1. Requests themselves, to the extent that Hancock seeks to require Abbott to provide information

beyond that required by the Federal Rules of Civil Procedure or Local Rules of the Court.

- Abbott objects to each Request to the extent that it seeks information protected by 2. the attorney-client privilege, the work product doctrine, or any other applicable privileges.
- Abbott objects to each and every Request to the extent that it is unduly 3. burdensome.
- Abbott objects to each and every Request to the extent that it calls for information 4. that is not relevant to any of the claims or defenses in this litigation.
- Abbott objects to each and every Request to the extent that it calls for information 5. not known to current employees, directors, and officers of Abbott.
- To the extent that Abbott responds to specific Requests to which it has objected, 6. Abbott's objections are not waived by the furnishing of such information and Abbott reserves the right to maintain such objections with respect to any additional information.
- To the extent that Abbott responds to a specific Request, Abbott does not admit 7. Plaintiffs' characterizations of any documents, facts, theories, or conclusions.
- To the extent that Abbott responds to a specific Request, Abbott does not admit 8. the relevance of such information to the subject matter of this litigation. Further, by responding to Hancock's requests, Abbott is not waiving any applicable privileges nor shall the inadvertent disclosure of any privileged information operate as a waiver of any applicable privilege or immunity from discovery.
- The responses to these Requests are based on information currently known to 9. Abbott and its current employees, and Abbott expressly reserves the right to supplement its responses to these Requests with further additional information and documents as such information and documents become available to Abbott in the course of this litigation.

-2-2763925.1

SPECIFIC OBJECTIONS AND RESPONSES

REQUEST FOR ADMISSION NO. 1:

The document attached to these Requests for Admission as Exhibit A is a true and accurate copy of an actual or proposed agenda for pharmaceutical "Portfolio Review" or "Portfolio Review meeting" that Abbott conducted on or about March 7-9, 2001.

RESPONSE TO REQUEST FOR ADMISSION NO. 1:

Abbott objects to this Request on the grounds that it is vague and ambiguous, particularly with respect to the term "actual or proposed agenda."

Subject to and without waiver of its general and specific objections, Abbott responds as follows:

Admit

REQUEST FOR ADMISSION NO. 2:

The original of the document attached to these Requests for Admission as Exhibit A was prepared by Abbott in or about February or March 2001, was kept in the course of Abbott's regularly conducted business activities, and was created consistent with Abbott's regular practice of making reports, records or data compilations of such business activity.

RESPONSE TO REQUEST FOR ADMISSION NO. 2:

Abbott objects to this Request on the grounds that it is vague and ambiguous.

Subject to and without waiver of its general and specific objections, Abbott responds as follows:

Admit

-3-2763925.1

REQUEST FOR ADMISSION NO. 3:

The document attached to these Requests for Admission as Exhibit B is a true and accurate copy of an "Initial Portfolio Prioritization" that was prepared by Abbott in connection with the March 2001 "Portfolio Review" or "Portfolio Review Meeting" that is referenced in Exhibit A, hereto.

Document 136-2

RESPONSE TO REQUEST FOR ADMISSION NO. 3:

Abbott objects to this Request on the grounds that it is vague and ambiguous.

Subject to and without waiver of its general and specific objections, Abbott responds as follows:

Deny. A copy of the document attached as Exhibit B to Hancock's requests was stored on the desktop computer formerly used by Dr. Jeffrey Leiden. The document was stored in a file path named "none/Documents and Settings/LeidenJM/My Documents/Current Projects/Dev Review Summary." The File/Properties of the electronic version of the document state that the "Author" is "McKinsey User", the "Company" is "McKinsey & Company", the Title is "Development Portfolio Prioritization", the "Created" date is March 8, 2001, the "Printed" date is March 17, 2000 [sic], and the document was "Last saved by: McKinsey User."

Dr. Leiden is no longer employed by Abbott. Abbott has not located any other copies of this document in its files. Abbott has not been able to identify any employees who have previously seen copies of this document or have any information regarding it.

REQUEST FOR ADMISSION NO. 4:

The original of the document attached to these Requests for Admission as Exhibit B was prepared by Abbott in or about March of 2001, was kept in the course of Abbott's regularly

-4-2763925.1

conducted business activities, and was created consistent with Abbott's regular practice of making reports, records or data compilations of such business activity.

RESPONSE TO REQUEST FOR ADMISSION NO. 4:

Abbott objects to this Request on the grounds that it is vague and ambiguous. Abbott objects to this Request on the grounds that it calls for information not known or readily obtainable by Abbott.

Subject to and without waiver of its general and specific objections, Abbott responds as follows:

Deny. A copy of the document attached as Exhibit B to Hancock's requests was stored on the desktop computer formerly used by Dr. Jeffrey Leiden. The document was stored in a file path named "none/Documents and Settings/LeidenJM/My Documents/Current Projects/Dev Review Summary." The File/Properties of the electronic version of the document state that the "Author" is "McKinsey User", the "Company" is "McKinsey & Company", the Title is "Development Portfolio Prioritization", the "Created" date is March 8, 2001, the "Printed" date is March 17, 2000 [sic], and the document was "Last saved by: McKinsey User."

Dr. Leiden is no longer employed by Abbott. Abbott has not located any other copies of this document in its files. Abbott has not been able to identify any employees who have previously seen this document or have any information regarding it.

REQUEST FOR ADMISSION NO. 5:

The document attached to these Requests for Admission as Exhibit C is a true and accurate copy of an "Initial Portfolio Prioritization" that was prepared by Abbott in connection with the March 2001 "Portfolio Review" or "Portfolio Review Meeting" that is referenced in Exhibit A, hereto.

-5-2763925.1

RESPONSE TO REQUEST FOR ADMISSION NO. 5:

Abbott objects to this Request on the grounds that it is vague and ambiguous. Abbott objects to this Request on the grounds that it calls for information not known or readily obtainable by Abbott.

Subject to and without waiver of its general and specific objections, Abbott responds as follows:

Deny. A copy of the document attached as Exhibit C to Hancock's requests was stored on the desktop computer formerly used by Dr. Jeffrey Leiden. The document was stored in a file path named "none/Documents and Settings/LeidenJM/My Documents/Current Projects/Next Steps - develop portfolio prioritiz." The File/Properties of the electronic version of the document state that the "Author" is "McKinsey User", the "Company" is "McKinsey & Company", the Title is "Development Portfolio Prioritiz", the "Created" date is March 8, 2001, the "Printed" date is March 17, 2000 [sic], and the document was "Last saved by: McKinsey User."

Dr. Leiden is no longer employed by Abbott. Abbott has not located any other copies of this document in its files. Abbott has not been able to identify any employees who have previously seen this document or have any information regarding it.

REQUEST FOR ADMISSION NO. 6:

The original of the document attached to these Requests for Admission as Exhibit C was prepared by Abbott in or about March of 2001, was kept in the course of Abbott's regularly conducted business activities, and was created consistent with Abbott's regular practice of making reports, records or data compilations of such business activity.

RESPONSE TO REQUEST FOR ADMISSION NO. 6:

Abbott objects to this Request on the grounds that it is vague and ambiguous. Abbott

-6-2763925.1

objects to this Request on the grounds that it calls for information not known or readily obtainable by Abbott.

Subject to and without waiver of its general and specific objections, Abbott responds as follows:

Deny. A copy of the document attached as Exhibit C to Hancock's requests was stored on the desktop computer formerly used by Dr. Jeffrey Leiden. The document was stored in a file path named "none/Documents and SettingsJM/Leiden/My Documents/Current Projects/Next Steps - develop portfolio prioritiz." The File/Properties of the electronic version of the document state that the "Author" is "McKinsey User", the "Company" is "McKinsey & Company", the Title is "Development Portfolio Prioritization", the "Created" date is March 8, 2001, the "Printed" date is March 17, 2000 [sic], and the document was "Last saved by: McKinsey User."

Dr. Leiden is no longer employed by Abbott. Abbott has not located any other copies of this document in its files. Abbott has not been able to identify any employees who have previously seen copies of this document or have any information regarding it.

-7-2763925.1

By its attorneys

Jeffrey J. Weinberger (Admitted Pro Hac Vice) Gregory D. Phillips (Admitted Pro Hac Vice) Eric J. Lorenzini (Admitted Pro Hac Vice) Ozge Guzelsu (Admitted Pro Hac Vice) MUNGER, TOLLES & OLSON LLP 355 South Grand Avenue, 35th Floor Los Angeles, CA 90071 (213) 683-9100

and

Michael S. D'Orsi Peter E. Gelhaar (BBO #188310) Michael S. D'Orsi (BBO #566960) DONNELLY, CONROY & GELHAAR LLP 1 Beacon St., 33rd Floor Boston, Massachusetts 02108 (617) 720-2880

Dated: April 26, 2007

-8-2763925.1

VERIFICATION

I, John Leonard, declare:

I am Vice President Global Pharmaceuticals Research and Development at Abbott Laboratories. I have been authorized to make this verification on behalf of Abbott Laboratories in this action.

I have read the foregoing ABBOTT LABORATORIES' RESPONSES AND
OBJECTIONS TO PLAINTIFFS' FIRST SET OF REQUESTS FOR ADMISSIONS and know
the contents thereof. I am informed and believe that the matters stated therein are true and on
that ground I declare under penalty of perjury under the laws of the State of California that the
same are true and correct.

Executed at Abbott Park, Illinois on this 24 day of April, 2007.

2763925.1

PROOF OF SERVICE

I, Jennifer C. Smith, declare:

- 1. I am over the age of 18 and not a party to the within cause. I am employed by Munger, Tolles & Olson LLP in the County of Los Angeles, State of California. My business address is 355 South Grand Avenue, Suite 3500, Los Angeles, California 90071-1560.
- 2. On April 27, 2007, I served the original and/or a true and correct copy of the document entitled **ABBOTT LABORATORIES' RESPONSES AND OBJECTIONS TO PLAINTIFFS' FIRST SET OF REQUESTS FOR ADMISSIONS** on the interested parties in this action by placing a true copy thereof enclosed in a sealed envelope, mailed as marked below, and addressed as follows:

See Attached Service List

- U.S. Mail: I am "readily familiar" with the firm's practice of collection and processing correspondence for mailing. Under that practice it would be deposited with the U.S. postal service on that same day with postage thereon fully prepaid at Los Angeles, California in the ordinary course of business. I am aware that on motion of the party served, service is presumed invalid if postal cancellation date or postage meter date is more than one day after date of deposit for mailing affidavit.
- Federal Express: I am "readily familiar" with the firm's practice of collection and processing correspondence for delivery to an employee of Federal Express. Under that practice it would be delivered to an employee of Federal Express on that same day at Los Angeles, California with charges to be billed to Munger, Tolles & Olson LLP's account to be delivered to the offices of the addressee(s) on in the ordinary course of business.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on April 27, 2007, at Los Angeles, California.

Jennifer C. Smjth

SERVICE LIST

Hancock, et al. v. Abbott Laboratories Case No. 05-11150-DPW

Joseph H. Zwicker Choate, Hall & Stewart LLP Two International Place Boston, MA 02110 Telephone:(617) 248-5076

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Michael S. D'Orsi

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1 Beacon Street 33rd Floor

Boston, MA 02108

Telephone:(617) 720-2880 Facsimile: (617) 720-3554 msd@dcglaw.com Email:

EXHIBIT B

Redacted

Confidential

EXHIBIT C

Attendees:

Abbott:

J. Leiden, B. Dempsey, A. Higgins, D. Norbeck, J. Leonard, E. Ogunro, E. Sun, M. Verlinden, P. Nisen, R. Granneman, R. Patterson, E. Shek, X. Frapaise, J. Nemmers, J. Arnott, E. Fiorentino, R. Guillaume, D. Pizzuti, P. Scaman, M. Roebel, C. Ward, K. Hendricks, J. Tyree, Y. Fujiwara, T. Seely

Knoll/BASF pharma:

I. Loew, S. Roellinger, U. Granzer, N. Bender, B. Kamen, M. Spigelman, F. Frickel, F. Richter, S. Roellinger, T. Zimmerman, C.Mendel, E. Chong, Steven Fischkoff, M. Luz, T. Seaton, C. Parow, B. Barchuk, R. Nuber, T. Hirose, E. V. Borcke, B. Hargan, R. Krautheimer, U.Legler, R. Janocha, J. Salfeld, U. Grau

McKinsey:

J. Hopfield

W ednesday, March 7

7:30 am 7:40 am	Welcome/ introduction Meeting objectives	10 mìn 10 mìn		J. Leiden J. Leonard
	Anti-Infectives	Presentation	Discussion	
7:50 am 8:15 am	Quinolones - ABT- 492 - HSR- 903 Anti-virals	20 min 30 min	5 min 10 min	C. Craft T. Hirose/R. Krautheimer
8:55 am	Triangle projects	30 min	10 min	M. Heath-Chiozzi
9:35 am	- HIV and HBV (FTC; DAPI	D)		
5.00 an+	Urology			800000000000000000000000000000000000000
9:55 am	BSF 42027 (ETA/ BPH)	30 min	10 min	M. Luz/U. Legler
10:35 am	T3/T4 T3/T4	15 min	5 min	C. Schreiber/T. Miller
	Asthma			
10:55 am	Hokunalin tape	15 min	5 min	T. Hirose/R. Krautheimer
	Oncology			
11:15 am	ABT-510	20 min	15 min	P. Nisen P .Nisen
11:50 am 12:25 pm	ABT-751 <i>โมเ</i> ก่	20 min	15 min	F.INISEII
1:25 pm	ABT-518	15 min	5 min	P. Nisen
1:45 pm	Rubitecan	20 min 20 min	5 min 5 min	P. Nisen P. Nisen
2:10 pm 2:35 pm	Theragyn ABT-627	30 min	10 min	P. Nisen
3:15 pm	AleronBask			
3:35 pm	Cardiology Darusentan (LU 135252) & other ETAs	30 min	10 min	M. Luz/M. Kirchengast
4:15 pm 4:55 pm 5:35 pm	Thrombosis PEG-hirudin Ancrod Urokinase/ Pro-urokinase	30 min 30 min 30 min	10 min 10 min 10 min	V. Ifthekar/U. Legler D. Levy/U. Legler S. Guptha

Thursday, March 8

			-		
NI	ΔI	Irc	sci	Δn	\sim
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	Medi Oscience			
		Presentation	Discussion	
7:30 am	ABT 594	30 min	10 min	B. McCarthy
8:10 am	ABT-963	15 min	15 min	Granneman/Doan/Bell
8:40 am	BSF 201640	30 min	10 min	B. Rendenbach-Mueller
9:20 am	BSF 74398	30 min	10 min	S. Dawe
	(Parkinson)			
10:00 am	Mining Black			
10:20 am	Dilaudid OROS	45 min	15 min	B. Gold/R.
				Krauthemeimer
11:20 am	BSF 190555	30 min	10 min	B. Rendenbach-Mueller
	(Schizophrenia)			
12:00 pm	Lnth			
1:00 pm	Hydrocodone	10 min	10 min	S. Collins
1:20 pm	Bimoclomol (ABT-822)	30 min	10 min	B. Wallin
	Gastro-enterology			
2:00 pm	Ganaton	15 min	5 min	S. Dawe/R. Krautheimer
•	(pro-kinetic)			
2:20 pm	TŰ-199	30 min	10 min	T. Hirose/ R. Krautheimer
	(proton pump inh.)			
3:00 pm	AU - 224	20 min	5 min	T. Hirose/ R. Krautheimer
	(colon pro-kinetic)			
3:25 pm	Aleron Bak			
	Phase III Projects			
3:45 pm	ABT-773	30 min	15 min	C. Craft
4:30 pm	D2E7	45 min	30 min	C. Spiegler/.E. v. Borcke

Friday, March 9

Phase	Ш	Proje	ctel	conf	(h'
FILASE	111			CUIII	u

	i ilaco il i i ojocio (o	Presentation	Discussion	
7:30 am	Segard	45 min	15 min	L. Daum/T. King
8:30 am	J695	30 min	10 min	R. Janocha/T. King
9:10 am	Clivarine	30 min	15 m in	F. Misselwitz/S. Schaeffer
9:55 am	NarrygBesk			
10:15 am	Rythmol SR	30 min	15 min	A. Pethö-Schramm/E.
	•			Schneider
11:00 am	Levosimendan	30 min	15 min	C MacLeod
	Phase IV Projects			
11:45 am	Clarithromycin	15 min	5 min	C. Olson
12:05 pm	Omnicef	15 min	5 min	C. Olson
12:25 pm	Linth			
1:25 pm	Kaletra	15 min	5 min	E. Sun
1:45 pm	Norvir	15 min	5 min	E. Sun
2:05 pm	Meridia (Sibutramine)	15 min	5 min	E. Chong/W. Hargan
2:25 pm	Uprima	15 min	5 min	S. Bukofzer
2:45 pm	Trandolapril (patch,	15 min	5 min	B. Rendbach-Mueller/
•	intervention trials)			U. Legler/N. Bender
3:05 pm	Ateron Bak			
3:25 pm	Fenofibrate	15 min	5 min	D. Yannicelli
3:45 pm	Depakote	15 min	5 min	K. Sommerville
4:05 pm	Gengraf	15 min	5 min	T. Japour
•				
4:25 pm	Conclusion			Jeff Leiden

EXHIBIT D

Redacted

Confidential

EXHIBIT E

		Page 1
1	UNITED	STATES DISTRICT COURT
2		FOR THE
3	DISTR	ICT OF MASSACHUSETTS
4		
5	JOHN HANCOCK L	IFE INSURANCE
6	COMPANY, JOHN	HANCOCK VARIABLE
7	LIFE INSURANCE	COMPANY, and
8	MANULIFE INSUR	ANCE COMPANY
9	(f/k/a INVESTO	RS PARTNER
10	INSURANCE COMP	PANY),
11	Plain	tiffs,
12	vs	Civil Action No. 05-11150-DPW
13	ABBOTT LABORAT	ORIES,
14	Defen	idant.
15		/
16		
17		
18	DEPONENT:	BRUCE GERALD MCCARTHY, M.D.
19	DATE:	Friday, September 29, 2006
20	TIME:	9:00 a.m.
21	LOCATION:	350 South Main Street, Suite 400
22		Ann Arbor, Michigan
23	REPORTER:	Angela E. Broccardo, CSR 4679
24		
25		

Page 230

1 A. Yes, two instances, one when we had the results

of the 114 study, and it was -- I remember Jeff 2

was there. I remember John was there. I don't

remember who else was there, John Leiden, and --4

5 Q. You said John Leiden. Did you mean John

Leonard?

7 A. Sorry, John Leonard and Jeff Leiden, and I think

there were just a few other people there, and we 8

were talking about the results of the study, and 9

he had asked that we consider how -- if we could 10

still make the trade off between efficacy and 11

side effects work at intermediate doses. And 12

that led to another intensive effort of thinking 13

to make those intermediate doses work, which 14

resulted in a kind of final recommendation or 15

discussion with -- I believe with Jeff, and the 16

decision to stop development. But those are 17

really the only things I remember about 18

interaction with Jeff on 594. 19

20 Q. The unblinded results for the 114 study, were

they adverse in any way? 21

MR. PHILLIPS: Objection. 22

THE WITNESS: I don't know the

definition -- what do you mean by adverse? 24

25 BY MR. DAVIS:

23

results, you believed that it was less -- it was 1

more likely than not that 594 would not be 2

further developed? 3

4 A. Yes.

5 Q. Was it the nausea, vomiting and dizziness that

caused to you think that?

7 A. It was the interrelationship of the efficacy and

the nausea, vomiting and dizziness.

9 Q. When you say the interrelationship, meaning that

you didn't think that the efficacy was so great 10

as to offset the nausea, vomiting and dizziness 11

that was observed in the trial? 12

13 A. That's correct.

14 Q. Going into the 114 trial, did you understand 594

15 to be efficacious?

16 A. I believed it was.

17 Q. Did you have data to that effect?

18 A. I believed that -- I interpreted the data that

we had available at the time to feel confident

enough to recommend that we should invest in a 20

study like 114 to further evaluate the efficacy. 21

22 Q. Do you still believe that 594 is efficacious?

23 A. With the 114 data, I do believe that the drug is

efficacious. 24

(Marked for identification 25

Page 231

1 Q. What did you perceive to be the results of the

study after they were unblinded? Good,

positive, negative, somewhere in between? 3

4 A. Somewhere in between.

5 Q. More positive than negative? Vice versa?

6 A. I would say as much of one as the other.

7 Q. What were the aspects of the unblinded results

that you thought were negative?

9 A. I'm sorry, I was answering the question before

about the -- I'm sorry, the unblinded. The

portions of the unblinded results that were 11

negative were that there was not -- it did not 12

appear to be that there was a dose at which 13

there was an appealing balance between the 14

benefit of the drug and the nausea, vomiting and 15

dizziness. 16

18

17 Q. Did you regard that as a significant negative?

MR. PHILLIPS: Objection.

THE WITNESS: I saw that as certainly a 19

very unlikely -- sorry. I interpreted that to 20

mean that the utility of further developing the 21

drug was pretty low. 22

23 BY MR. DAVIS:

24 Q. Is it fair to say that after the results of the

114 study were unblinded and you looked at those

Page 233 Deposition Exhibit No. 46.)

2 BY MR. DAVIS:

3 Q. Dr. McCarthy, I'll show you what's been marked

as Exhibit 46 and ask you if you've seen this

document or a document in this format before. 5

6 A. I've seen documents in this format.

7 Q. Have you seen this one in particular before?

8 A. I don't recall.

9 Q. The documents that you saw in this format, how

did it come about that you received them?

11 A. The first part I would receive at various times.

I believe they had something more to do with 12

budget cycle planning, and summarized from a 13

portfolio level, they were intended to be 14

one-page summaries of the portfolio analysis. 15

The other pages look like the typical monthly 16

status update pages. 17

18 Q. Did you contribute to the creation of these

documents, to your knowledge? 19

20 A. My contribution to the first page was small and

indirect in that, you know, about at annual 21

intervals we would develop budgets which 22

contributed to, for example, development costs. 23

And on the other pages, the monthly update, 24

there were components that I would contribute 25

			'
1		ı	ERRATA SHEET
2	ATTORNEY: GREGORY D. PHILLIPS	2	•
3	CASE NAME: JOHN HANCOCK vs. ABBOTT LABORATORIES	3	CASE: JOHN HANCOCK vs. ABBOTT LABORATORIES
-	WITNESS: BRUCE GERALD MCCARTHY, M.D.	4	WITNESS: BRUCE GERALD MCCARTHY, M.D.
4	REPORTER: Angela E. Broccardo, CSR-4679	5	
5	REPORTER: Angela E. Dioceanto, Conchoto	6	PAGE LINE CORRECTION
6	o de la companya pot majuad	7	
7	Since the signature was not waived,	8	
8	herein is the signature page and errata sheet.	9	
9	The read and sign process is an	10	
10	opportunity to correct any inaccuracies or	11	
11	errors inadvertently made in the transcription	12	
12	process and should not be used as a forum for		
13	changing or altering testimony.	13	
14	Please note any corrections on the	14	
15	errata sheet, sign the signature page, and	15	
16	return only the signature page and errata sheet	16	
17	to:	17	;;
18	BRIAN A. DAVIS	18	
19	Choate, Hall & Steward, LLP	19	;
20	Two International Place	20	;
21	Boston, Massachusetts 02110	21	;
22	You have thirty (30) days to complete the	22	;;
23	correction process.	23	;;
24	PLEASE FOLLOW THESE INSTRUCTIONS.	24	;
25	DO NOT RETURN TO ESQUIRE DEPOSITION SERVICES.	25	::
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74 (Pages 290 to 293)

EXHIBIT F

UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

JOHN HANCOCK LIFE INSURANCE)
COMPANY, JOHN HANCOCK VARIABLE)
LIFE INSURANCE COMPANY, and)
MANULIFE INSURANCE COMPANY (f/k/a)
INVESTORS PARTNER LIFE INSURANCE)
COMPANY),) CIVIL ACTION NO. 05-11150-DPW
Plaintiffs,)
)
v .)
)
ABBOTT LABORATORIES,)
)
Defendants,)

ABBOTT LABORATORIES' RESPONSES AND OBJECTIONS TO PLAINTIFFS' FIRST SET OF INTERROGATORIES

Defendant Abbott Laboratories ("Abbott"), by its undersigned counsel and pursuant to Rule 33 of the Federal Rules of Civil Procedure and Local Rules, hereby responds and objects the First Set of Interrogatories of Plaintiffs John Hancock Life Insurance Company, John Hancock Variable Life Insurance Company, and Investors Partner Life Insurance Company's (collectively, "Hancock").

GENERAL OBJECTIONS AND RESPONSES

The following General Objections and Responses apply to each and every one of the numbered interrogatories below, and the General Objections and Responses shall be deemed continuing as to each interrogatory and are not waived, or in any way limited, by the specific objections and answers to any individual interrogatory.

1. Abbott objects to the "Definitions and Instructions" set forth in Hancock's interrogatories, as well as the interrogatories themselves, to the extent that

Hancock seeks to require Abbott to provide information beyond that required by the Federal Rules of Civil Procedure or Local Rules of the Court.

- Abbott objects to each Interrogatory to the extent that it seeks
 information or documents protected by the attorney-client privilege, the work product
 doctrine, or any other applicable privileges.
- 3. Abbott objects to each and every Interrogatory to the extent that it is overly broad in scope or time and unduly burdensome.
- 4. Abbott objects to each and every Interrogatory to the extent that it calls for information that is not relevant to any of the claims or defenses in this litigation.
- 5. Abbott objects to each and every Interrogatory to the extent that it calls for information outside of Abbott's possession, custody, or control.
- 6. To the extent that Abbott responds to specific Interrogatories to which it has objected, Abbott's objections are not waived by the furnishing of such information and Abbott reserves the right to maintain such objections with respect to any additional information.
- 7. To the extent that Abbott responds to a specific Interrogatory, Abbott does not admit Plaintiffs' characterizations of any documents, facts, theories, or conclusions.
- 8. To the extent that Abbott responds to a specific Interrogatory, Abbott does not admit the relevance of such information to the subject matter of this litigation. Further, by responding to Hancock's interrogatories, Abbott is not waiving any applicable privileges nor shall the inadvertent disclosure of any privileged information operate as a waiver of any applicable privilege or immunity from discovery.

- 9. The responses to these Interrogatories are based on information currently known to Abbott and its current employees, and Abbott expressly reserves the right to supplement its responses to these Interrogatories with further additional information and documents as such information and documents become available to Abbott in the course of this litigation.
- 10. Except where specifically indicated below, the individuals identified in response to these interrogatories are all current or former employees of Abbott to be contacted only through counsel of record for Abbott herein.
- Abbott objects to these interrogatories on the ground that in total, including all discrete subparts, they exceed the total number of interrogatories permitted by the Federal Rules of Civil Procedure, Local Rules and any Orders entered by the Court in this case.

Subject to these General Objections and Responses, and without waiving the same, Abbott states as follows:

SPECIFIC OBJECTIONS AND RESPONSES

1. Please identify each person who participated in the creation of the Compound Reports that are appended to the Research Funding Agreement as Exhibit 12.2(i).

Response: Abbott specifically objects to this interrogatory on the grounds that it is overly broad and unduly burdensome. Subject to these specific objections and its General Objections and Responses, and without waiving them, Abbott states that, other than administrative or support staff, the following individuals were the principal participants in the creation of the Compound Reports that are appended to the Research Funding Agreement as Exhibit 12.2(i):

Steven Cohen

Chris Turner

John Leonard

Dan Norbeck

Perry Nisen

Bruce McCarthy

Stan Bukofser

Carl Kraft

James Sullivan

Additionally, Robert Weiland, Rosemarie Waleska, Jeffrey Ropers, and Erik Zimmer may have been involved, or participated in some manner, in the creation of the Compound Reports. Abbott's investigation continues.

2. Please describe Abbott's efforts, prior to March 13, 2001, to verify or confirm the accuracy of the representations and warranties contained in Sections 12.2(d) and 12.2(i) of the Research Funding Agreement, including without limitation the identity of each person who participated in such effort and a complete description of his or her duties and responsibilities with respect to such effort.

Response: Abbott specifically objects to this interrogatory on the grounds that it is overly broad and unduly burdensome. Abbott also objects to this interrogatory to the extent it seeks information protected from disclosure under the attorney-client privilege. Abbott also objects to this interrogatory on the ground that it seeks information more appropriately sought through deposition. Subject to these specific objections and its General Objections and Responses, and without waiving them, Abbott states that the individuals identified in response to Interrogatory 1, above, were those individuals who

were principally involved in confirming the accuracy of the representations and warranties contained in Sections 12.2(d) and 12.2(i) of the Research Funding Agreement.

Further answering, Abbott states that the Exhibits to the Research Funding Agreement that are the subject of the representations and warranties contained in Sections 12.2(d) and 12.2(i) of the Research Funding Agreement were prepared at the direction of the then Controller of the Pharmaceutical Products Division Research and Development Group, Steven Cohen, primarily with the assistance of Chris Turner. The information contained in the Exhibits that are the subject of the representations and warranties contained in Sections 12.2(d) and 12.2(i) of the Research Funding Agreement were assembled and verified through consultation with each of the respective discovery or development heads of the compounds that are the subject of those exhibits, including the following individuals, who had responsibility for providing and verifying the information concerning the compounds or programs set forth in parenthesis next to each of their names:

Perry Nisen (ABT-510, ABT-518, ABT-627, ABT-751 and FTI Program)

Bruce McCarthy (ABT-594)

Stan Bukofser (ABT-773 and ABT 492)

James Sullivan (Dopamine Receptor Agonist (Male ED) Program)

Further answering, Abbott states that Mr. Turner consulted with the individuals identified above concerning the respective compounds/programs for which they had responsibility, included the information in the Compound Reports, and then provided the Compound Reports to the appropriate individuals, listed above, for verification of the accuracy of the information. Once this process was completed, the exhibits were provided to John Leonard and Dan Norbeck for further review.

3. Please identify each person who participated in any effort, or actual or tentative decision by Abbott, prior to March 13, 2001, to "slow[] down" ABT-518 as referenced in the Abbott document bearing bates stamp no. AL 000403.

Response: Abbott specifically objects to this interrogatory on the ground that it is overly broad, unduly burdensome, and vague. Subject to these specific objections and its General Objections and Responses, and without agreeing that the characterization of the development status of ABT-518 set forth in Interrogatory 3 or in document AL 000403 is accurate, Abbott states that the individuals who have knowledge of the information set forth in document AL 000403 or who were principally involved in the relevant underlying events are:

Phillip Deemer

Perty Nisen

John Leonard

Jeffrey Leiden

Abbott's investigation continues.

4. Please identify each person who participated in any effort, or actual or tentative decision by Abbott, prior to March 13, 2001, to put ABT-518 "back on track" as referenced in the Abbott document bearing bates stamp no. AL 000403.

Response: Abbott specifically objects to this interrogatory on the ground that it is overly broad, unduly burdensome, and vague. Subject to these specific objections and its General Objections and Responses, and without agreeing that the characterization of the development of ABT-518 set forth in Interrogatory 4 or document AL 000403 is accurate, Abbott states that the individuals who have knowledge of the information set forth in document AL 000403 or who were principally involved in the relevant underlying events are:

Phillip Deemer

Perry Nisen

John Leonard

Jeffrey Leiden

Diane D'Amico

Azmi Nabulsi

Todd Janus

Jan Schellens
The Netherlands Cancer Institute
Plesmanlaan 121
1066 CX Amsterdam
The Netherlands

Abbott's investigation continues.

5. Please identify each person having knowledge of the "518 debacle" referenced in the Abbott document bearing bates stamp no. AL 000403.

Response: Abbott specifically objects to this interrogatory on the ground that it is overly broad, unduly burdensome, and vague. Subject to these specific objections and its General Objections and Responses, and without agreeing to the characterization set forth in Interrogatory 5 or document AL 000403, Abbott states that the individuals with knowledge of the reference to the "518 debacle" in document AL 000403 are:

Philip Deemer

Perry Nisen

Additionally, for more complete context, Abbott refers Hancock to its responses to Interrogatories 3 and 4, above.

6. Please describe in as much detail as possible the development status of ABT-518 as of March 13, 2001, including without limitation the expected development funding and milestones.

Response: Abbott specifically objects to this interrogatory on the grounds that it is overly broad and unduly burdensome, including in its request for a description "in as much detail as possible" of the complex and extensive development status of a pharmaceutical compound. Subject to these specific objections and its General Objections and Responses, pursuant to Fed. R. Civ. P. 33(d) and Local Rule 33.1(b), to the extent it has not already provided such documents, Abbott will produce within 14 days of the date of serving the responses to these interrogatories the following business records that will provide a detailed description of the development status of ABT-518 on or about March 2001:

March 2001 monthly compound status report for ABT-518;

March 2001 Monthly Highlights Memorandum;

Compound Report for ABT-518, attached as Exhibit 12.2(i) to Research Funding Agreement;

Development Cost Summary for ABT-518, attached as Exhibit 1.6 to Research Funding Agreement;

DDC Report (PPCC) for ABT-518

Investigators Drug Brochure for ABT-518; and

Further answering, and given Hancock's request for a description "in as much detail possible," additional documents concerning ABT-518 that will be produced by Abbott and Hancock throughout the litigation, and deposition testimony to be given in the course of this litigation, may provide additional information responsive to this interrogatory.

Please identify each person who participated in Abbott's 7. decision in 2001 to end further development funding of ABT-518.

Response: Abbott objects to this interrogatory on the ground that it is overly broad and unduly burdensome. Subject to its specific objection and its General Objections and responses, the individuals who principally participated in the decision to end further development funding of ABT-518 by Abbott are:

Jeffrey Leiden

John Leonard

Perry Nisen

Arthur Higgins

Eugene Sun

Marleen Verlinden

Steve Cohen

Keith Hendricks

Abbott's investigation continues.

Please describe in as much detail as possible the development status of ABT-594 as of March 13, 2001, including without limitation the expected development funding and milestones.

Response: Abbott specifically objects to this interrogatory on the grounds that it is overly broad and unduly burdensome, including in its request for a description "in as much detail as possible" of the complex and extensive development status of a pharmaceutical compound. Subject to these specific objections and its General Objections and Responses, pursuant to Fed. R. Civ. P. 33(d) and Local Rule 33.1(b), to the extent it has not already provided such documents, Abbott will produce within 14 days of the date of serving the responses to these interrogatories the following business records that will

provide a detailed description of the development status of ABT-594 on or about March 2001:

March 2001 monthly compound status report for ABT-594;

March 2001 Monthly Highlights Memorandum;

Compound Report for ABT-594, attached as Exhibit 12.2(i) to Research Funding Agreement;

Development Cost Summary for ABT-594, attached as Exhibit 1.6 to Research Funding Agreement:

DDC Report (PPCC) Report for ABT-594;

Investigators Drug Brochure for ABT-594; and

Further answering, and given Hancock's request for a description "in as much detail possible," additional documents concerning ABT-594 that will be produced by Abbott and Hancock throughout the litigation, and deposition testimony to be given in the course of this litigation, may provide additional information responsive to this interrogatory.

Please identify each person who participated in Abbott's decision in 2001 to terminate further development of ABT-594.

Response: Abbott specifically objects to the interrogatory on the grounds that it is overly broad and unduly burdensome. Subject to its specific objections and its General Objections and Responses, and without waiving them, Abbott states that the decision to terminate further development of ABT-594 by Abbott ultimately was made by Abbott's Pharmaceutical Executive Committee, which consisted at the relevant time of the following persons:

Jeffrey Leiden

John Leonard

William Dempsey

David Goffredo

Arthur Higgins

Dan Norbeck

Doug Sporn

Michael Beatrice

Lance Wyatt

Chris Ward

Eugene Sun

Further answering, Abbott states that the following individuals were or may have been involved or participated in some manner in the decision to cease the development of ABT-594 by Abbott.

Walid Awni

Michael Fash

Brian Biarnesen

Keith Hendricks

Nigel Livesey

Elizabeth Kowaluk

Steve Kuemmerle

Lisa Lux

Bruce McCarthy

Michael Meyer

David Morris

David Ross

James Sullivan

Rose Waleska

Danhui Wang

Abbott's investigation continues.

10. Please describe Abbott's efforts since March 13, 2001 to outlicense any of the Program Compounds, including without limitation ABT-518, ABT-594, ABT-492 and ABT-792 (sic), and further including the identity of each person who participated in such efforts on behalf of Abbott, the content and terms of any and all proposals or solicitations, the identity of each potential licensee with whom Abbott has communicated, and the response received from each potential licensee.

Response: Abbott specifically objects to this interrogatory on the grounds that it is overly broad, unduly burdensome, and exceeds the total number of permitted interrogatories, including all discrete subparts. Abbott further objects to this request to the extent that it requests information that is not discoverable or admissible as evidence, and thus outside the scope of permissible discovery under Fed. R. Civ. P. 26, because of certain Non-Use Agreements executed between Abbott and Hancock. Pursuant to those agreements, executed in September 2004 and August 2005, the parties agreed that "[n]o action taken or statement, writing or other communication hereafter sent made or authored by employees of John Hancock or Abbott. . . for the sole purpose of out-licensing various program compounds in connection with the Business and pursuant to the terms of the Research Funding Agreement. . . shall be discoverable or admissible in evidence for any purpose...in any subsequent action between John Hancock and Abbott." Accordingly, Abbott objects to this interrogatory to the extent it seeks out-licensing information between the effective periods of the two Non-Use Agreements, specifically from July 7, 2004 through June 30, 2005 and from August 22, 2005 to the present. Abbott further objects to

this interrogatory to the extent that it requires disclosure of the information that is subject to confidentiality agreements with third parties not involved in this litigation.

Subject to its specific objections and its General Objections and Responses, and without waiving them, Abbott states that it has attempted to out-license or divest each of the following Program Compounds: ABT-518, ABT-594, ABT-773, ABT-492, and ABT-724. Abbott's investigation continues with respect to out-licensing efforts on ABT-100.

As Hancock is aware, Abbott outlicensed ABT-773. The individuals from Abbott principally involved in that transaction were Michael Johanssen, Ake Johanssen, Joe Nolan and Mylene Salamero. Abbott is also in the process of out-licensing ABT-492.

Further answering, pursuant to Fed. R. Civ. P. 33(d) and Local Rule 33.1(b), to the extent it has not already provided such documents, Abbott will produce within 14 days of the date of serving the responses to these interrogatories documents from which a further response to this interrogatory can be derived.

Abbott's investigation continues.

11. Please identify each person who participated in the Compliance Audit on Abbott's behalf, including without limitation a complete description of his or her duties and responsibilities with respect to the Compliance Audit.

Response: Abbott specifically objects to this interrogatory on the grounds that it is overly broad, unduly burdensome, and exceeds the total number of permitted interrogatories, including all discrete subparts. Abbott further objects to the phrase "participated in" as vague and ambiguous. Subject to its specific objections and its General Objections and Responses, and without waiving them, Abbott states that, apart from Abbott's in-house counsel and outside counsel of record who provided legal advice

and counsel to Abbott and communicated with outside counsel for Hancock concerning the audit, listed below are the persons who principally participated in gathering, reviewing, and producing materials for the "Compliance Audit" on Abbott's behalf, together with a description of their duties and responsibilities:

Name	Description of Duties and Responsibilities
Michelle Campbell	Paralegal, coordinated collection and review of materials for production
Carey Crimmins	Contract attorney from Special Counsel who reviewed audit documents for production
Ronald Richards	Contract paralegal from Manpower who reviewed audit documents for production
Christopher Fleck	Contract paralegal from Manpower who reviewed audit documents for production
Karen Cole-Kearney	Contract paralegal from Manpower who reviewed audit documents for production
Ray Harris	Contract paralegal from Manpower who reviewed audit documents for production
Ron Michael	Contract paralegal from Manpower who reviewed audit documents for production
Twenty-Four Seven copy service	Copied documents for audit review and production

Abbott's investigation continues.

12. Please identify each other contract compliance audit that Abbott has undertaken or been subject to at any time from January 1, 1995 to the present, including, without limitation, the identity of each person who participated in each such audit, the inclusive date(s) of each such audit, the purpose(s) of each audit, and the results of each such audit.

Response: Abbott specifically objects to the interrogatory on the grounds that it is overly broad, unduly burdensome, not relevant to the claim or defense of any party, not reasonably calculated to lead to the discovery of admissible evidence, and exceeds the total number of permitted interrogatories, including all discrete subparts.

Please state all modifications that have been made to Abbott's 13. preliminary Annual Research Plan for 2005 since November 16, 2004, including without limitation the monetary effect of each such modification and the reason(s) for each such modification.

Response: Abbott specifically objects to this interrogatory on the grounds that it is overly broad, unduly burdensome, and exceeds the total number of permitted interrogatories, including all discrete subparts. Abbott further objects to this interrogatory to the extent that it seeks information provided to Hancock that is the subject of either of the Non-Use Agreements between Abbott and Hancock on the ground that the information is not discoverable or admissible and thus outside the permitted scope of discovery under Fed. R. Civ. P. 26.

Subject to these specific objections and its General Objections and Responses, and without waiving them, Abbott states that there have been no "modifications" made to the preliminary Annual Research Plan for 2005 as provided to Hancock on or about November 16, 2004. Further answering, however, Abbott states that the total amount of spending on the remaining Program Compounds during 2005, as set forth below, was approximately \$11.6 million greater than the amount of total spending for 2005 that Abbott estimated in its preliminary Annual Research Plan for 2005 without any additional contribution from Hancock.

Further answering, Abbott's actual and Last Best Estimate ("LBE") of total expenditures on the Program Compounds for 2005 is as follows:

In Millions of U.S. Dollars	Month 11	Month12	Total
	YTD	LBE	Year
	2005	2005	2005
ABT-510	15.3	1.4	16.7
ABT-627 Atrasentan Base	39.0	4.1	43.1
ABT-627 Atrasentan Hormone Naive Prostate Cancer	0.0	0.0	0.0
ABT-627 Japan	1.4	0.1	1.5

ABT-627 Non-Prostate Cancers	0.9	0.1	1.0
ABT-751	11.1	1.0	12.1
Total Program Spend	67.7	6.7	74.4

Please state the total amount of Abbott's intended and 14. reasonably expected expenditures on Program Related Costs for the year 2005 as of the date of these interrogatories.

Response: Abbott specifically objects to the interrogatory on the grounds that it is not relevant to the claim or defense of any party, not reasonably calculated to lead to the discovery of admissible evidence, and exceeds the total number of permitted interrogatories, including all discrete subparts. Subject to its specific objections and its General Objections, and without waiving these objections, Abbott states as of October 26, 2005, the total amount of Abbott's intended and reasonably expected expenditures on Program Related Costs for the year 2005 was \$64.7 million.

Respectfully Submitted,

ABBOTT LABORATORIES

artorneys

Peter E. Gelhaar, Esq. Michael S. Dorsi, Esq. DONNELLY, CONROY & GELHAAR, LLP 1 Beacon Street, 33rd Floor Boston, Massachusetts 02108 (617) 720-2880

and

Lawrence R. Desideri, Esq. Stephen V. D'Amore, Esq. Stephanie S. McCallum, Esq. WINSTON & STRAWN LLP 35 West Wacker Drive Chicago, Illinois 60601 (312) 558-5600

Counsel for Abbott Laboratories

The undersigned, an attorney, hereby certifies that s/he caused a copy of the foregoing Abbott Laboratories' Response to Plaintiffs' First Set Of Interrogatories to be served by U.S. Mail upon the following:

> Brian A. Davis Choate, Hall & Stewart Two International Place Boston, MA 02110

on this 31st day of December, 2005.

CERTIFICATE OF SERVICE

The undersigned, an attorney, hereby certifies that s/he caused a copy of the foregoing Abbott Laboratories' Response to Plaintiffs' First Set Of Interrogatories to be served by U.S. Mail upon the following:

Brian A. Davis Choate, Hall & Stewart Two International Place Boston, MA 02110

on this 31st day of December, 2005.

EXHIBIT G

Redacted

Confidential

EXHIBIT H

CONFIDENTIAL

IN THE UNITED STATES DISTRICT COURT 1 FOR THE DISTRICT OF MASSACHUSETTS 2 3 JOHN HANCOCK LIFE : CIVIL ACTION 4 INSURANCE COMPANY, JOHN HANCOCK VARIABLE : LIFE INSURANCE COMPANY, : and MANULIFE INSURANCE : COMPANY (f/k/a INVESTORS: PARTNER INSURANCE COMPANY), Plaintiffs,: ν. ABBOTT LABORATORIES, Defendant.: NO. 05-11150-DPW 10 11 November 22, 2006 12 13 14 CONFIDENTIAL 15 Videotaped deposition of PERRY 16 NISEN, M.D., Ph.D., held in the offices of Morgan Lewis, LLP, 18th Floor, 1701 17 Market Street, Philadelphia, Pennsylvania 19103, commencing at 9:05 a.m., on the 18 above date, before Denise D. Bach, a Federally Approved Registered Professional Reporter and a Certified 20 Shorthand Reporter. 21 ESQUIRE DEPOSITION SERVICES 22 Four Penn Center, Suite 1210 23 1600 John F. Kennedy Boulevard Philadelphia, Pennsylvania 19103 (215) 988-9191

Case 1:05-cv-11,150-DPW Document 136-9 Filed 05/21/2007 Nisen, Perry (Linked) 3 of 6

	CONFIDENTIAL	1	I	N D E X	
	1	2	WITNESS		PAGE
1	IN THE UNITED STATES DISTRICT COURT		PERRY NISEN, M	.D., Ph.D.	
2	FOR THE DISTRICT OF MASSACHUSETTS	3	By Mr. Davis		9, 405, 468
3	+		By Mr. Lorenzi	ni	389, 447
4	JOHN HANCOCK LIFE : CIVIL ACTION	4			
•	INSURANCE COMPANY, :	5	EX	HIBITS	
5	JOHN HANCOCK VARIABLE :	6	MARKED	DESCRIPTION	PAGE
-	LIFE INSURANCE COMPANY, :	7	Nisen-1	Descriptive	41
6	and MANULIFE INSURANCE :			Memorandum, May	
Ü	COMPANY (f/k/a INVESTORS:	8		2000, Bates	
7	PARTNER INSURANCE :			ABBT246447/24645	4
,	COMPANY),	9			
8	Plaintiffs,:		Nisen-2	ABT-518 February	50
	V. :	10		2001 Document,	
9	•••			Bates	
,	ARROTT LABORATORIES, :	11		ABBT0000343/0000	
10	Defendant.: NO. 05-11150-DPW	12	Nisen-3	E-mail, 4/7/00,	84
11	perencent: 1 10: 03 11100 21"			Bates	
	November 22, 2006	13		ABBT297359/29737	
12	NOVEMBER 22, 2000	14	Nisen-4	E-mail, 6/23/00,	
13	CONFIDENTIAL			Bates ABBT366187	
14	CONFIDENTIAL	15			
15	Videotaped deposition of PERRY		Nisen-5	ABT-518	110
16		16		Transition	
	NISEN, M.D., Ph.D., held in the offices			Strategy, Bates	-
17	of Morgan Lewis, LLP, 18th Floor, 1701	17		ABBT256634/25664	
	Market Street, Philadelphia, Pennsylvania	18	Nisen-6	Oncology	130
18	19103, commencing at 9:05 a.m., on the			Portfolio	
	above date, before Denise D. Bach, a	19		Analysis Inputs,	
19	Federally Approved Registered			Bates ABBT292350/30272	•
	Professional Reporter and a Certified	20 21	Nisen-7	E-mail string,	136
20	Shorthand Reporter.	21	Nisen-/	Bates ABBT004611	
				Bates ABBTU04511	,
21		22		MMPI Working	140
22	ESQUIRE DEPOSITION SERVICES		Nisen-8	Group Minutes,	140
	Four Penn Center, Suite 1210	23		-	
23	1600 John F. Kennedy Boulevard			1/11/01, Bates	
	Philadelphia, Pennsylvania 19103	24		ABBT0045274/ 0045276	
24	(215) 988-9191			UU43Z/0	

11/22/2006 Nisen, Perry (Linked)

CONFIDENTIAL

APPEARANCES: 1 CHOATE HALL & STEWART LLP BY: BRIAN A. DAVIS, ESQUIRE Two International Place 4 Boston, Massachusetts 02110 (617) 248-5000 bad@choate.com Counsel for the Plaintiffs MUNGER, TOLLES & OLSON LLP BY: ERIC LORENZINI, ESQUIRE 355 South Grand Avenue Los Angeles, California 90071 (213) 683-9207 10 lorenziniej@mto.com 11 Counsel for the Defendant 12 ALSO PRESENT: 13 14 JASON HOFFMAN, Videographer 15 16 17 18 19 20 22 23

11/22/2006 Nisen Perry (Linked)

		11/22/2006 Nisen, Perry	(⊔nked)
1	Nisen-9	Forecast	142
		Methodology and	
2		Assumptions,	
		Bates	
3		ABBT0012938/0012969	
4	Nisen-10	ABT-518	149
		Descriptive	
5		Memorandum,	
		February 2001,	
6		Bates	
		ABBT0004032/0004039	
7			
	Nisen-11	Abbott Portfolio	190
8		Review, March	
		7-9, 2001, Bates	
9		ABBT0013224/0013232	
10	Nisen-12	E-mail	199
		w/attachments,	
11		3/22/01, Bates	
		ABBT300130/300132	
12			
	Nisen-13	MMPI Monthly	202
13		Meeting Agenda,	
		3/8/01, Bates	
14		ABBT0045253	
15	Nisen-14	Letter, 3/9/01,	205
		Bates	
16		ABBT0049922/0049926	
17	Nisen-15	M00-235	208
		Teleconference	
18		Notes, 6/21/01,	
		Bates	
19		ABBT0033089/0033119	000
20	Nisen-16	E-mail string,	230
		5/2/01, Bates	
21 22	No 17	ABBT0055426	233
22	Nisen-17	E-mail, 5/25/01, Bates ABBT0059368	433
0.2		Bates ADDIVUD9308	
23	Mison 10	E mail 2/19/01	237
24	Nisen-18	E-mail, 3/12/01, Bates ABBT0004031	231
24		Dares WDD10004031	

Case 1:05-cv-11150-DPW Document 136-9 Filed 05/21/2007 Page 4 of 6 11/22/2006 Nisen, Perry (Linked) 4 of 6

1	A. He took over as the finance	1	the PEC?
	guy for R&D.	2	MR. LORENZINI: Objection.
3	Q. For Mr. Cohen?	3	THE WITNESS: I don't
4	A. Yeah, I think so. There	4	remember exactly who made it or
5	might have been somebody in between. I	5	how it was made or when it was
6	don't know.	6	made.
7	O. poes this e-mail refresh	7	BY MR. DAVIS:
8	your recollection as to when it was that	8	Q. Did you did you
9	Abbott decided to permanently halt the	9	participate in that decision?
10	Phase I clinical trial of ABT-518?	10	A. I don't remember.
11	A. Well, it's dated May 31st,	11	Q. Was it a group decision?
12	so it sounds like its around that time,	12	A. I don't remember.
13	doesn't it?	13	Q. Was it a decision driven in
14	Q. It does. But my question is	14	part, to your knowledge, by Abbott's
15	a little different, which is again,	15	desire to save money?
16	we're trying to get at your recollection	16	A. I don't remember.
17	here today, and my question is, does this	17	Q. Do you recall having a
18	refresh your recollection about when it	18	series of e-mails or communications with
19	occurred?	19	others within Abbott regarding how much
20	A. No, no.	20	Abbott could save on a Phase I clinical
21	Q. Do you have any doubt that	21	trial by shutting it down?
22	it occurred sometime on or before May 31,	22	A. I do remember discussions
23	2001?	23	related to savings of stopping. I do
24	A. It's around then.	24	remember some discussions that it wasn't

297

11/22/2006 Nisen, Perry (Linked)

When it refers to the MMPI shutdown in this e-mail, that is, in fact, a reference to terminating the further development of ABT-518, is that right? MR. LORENZINI: Objection. THE WITNESS: Yes. BY MR. DAVIS: Q. As you sit here today, do

you recall the process that was followed within Abbott to -- that resulted in the shutdown of the development program for ABT-518?

Do I recall that there was a Α. 14

decision made to terminate the project, 15

is that your question?

1

10

11

12

17

Q. Well, my -- my question was about the process, but let's start there. 18

Do you recall the decision 19 being made to terminate the further 20

21 development of ABT-518?

A. I recall the decision was 22 23 made to terminate its development.

Q. Okay. And was that made by 24

11/22/2006 Nisen, Perry (Linked)

1	turn the water spigot on and off; that a
2	lot of the expenses were already made and
3	that there wouldn't be savings to the
4	extent that you'd stop it cold and then
5	all spending would stop immediately,
6	something like that. I do remember
7	discussions like that.
В	Q. If you take a look on the
9	second page of Exhibit 26, near the
10	bottom, there's an e-mail from Mr. Woida
11	to Mr. Funck with a cc to you, among
12	others. Again, referencing the MMPI
13	Phase I study options, it states, "The
14	\$0.8 million external savings occurs
15	under the first 'shutdown' scenario
16	mentioned below, i.e., whereby we do NOT
17	enroll additional patients and simply
18	maintain existing patients on drug for
19	the study duration."
20	Do you see that?
21	A. Yes.
22	Q. Then the next paragraph
23	says, "The second alternative below
24	(favored by Perry) whereby we enroll all

Case_{1/2}1/2005-17-6-17 (1.1-50)-DPW Document 136-9 Filed 05/21/2000 (Tsen, Perlyangua) 5 of 6

1	that we're having here is we're talking	1	CERTIFICATE
		2	I hereby certify that the
2	about a complex and detailed body of		• •
3	information, and does I think you're	3	proceedings and evidence noted are
4	asking me does this accurately represent	4	contained fully and accurately in the
5	the challenges and issues, positives and	5	notes taken by me on the deposition of
6	negatives about those molecules, I don't	6	the above matter, and that this is a
7	know. I'm not sure.	7	correct transcript of the same.
8	Q. No, actually, my question	8	
9	was much simpler.	9	
10	A. Okay.	10	
11	Q. Doesn't make any reference	11	
12	to the fact that Pfizer stopped those	12	DENISE D. BACH, CSR
13	Phase III trials, does it?	13	
14	MR. LORENZINI: He's just	14	DATE:
15	asking what's in the document.	15	
16	THE WITNESS: It doesn't say	16	
17	that in here, no.	17	
18	MR. DAVIS: Okay. I have no	18	(The foregoing certification
19	further questions.	19	of this transcript does not apply to any
20	MR. LORENZINI: I have no	20	reproduction of the same by any means,
21	further questions.	21	unless under the direct control and/or
22	THE VIDEOGRAPHER: That	22	supervision of the certifying reporter.)
23	concludes today's videotape	23	
24	deposition. The time is 5:37.	24	

473

11/22/2006 Nisen, Perry (Linked)

11/22/2006 Nisen, Perry (Linked)

475

	,	
	(Videotape deposition is	1 Instructions to witness
1	· -	2 Please read your deposition
2	concluded at 5:37 p.m.)	3 over carefully and make any necessary
3		
4		4 corrections. You should state the reas
5		5 in the appropriate space on the errata
6		6 sheet for any correction that is made.
7		7 After doing so, please sig
8		8 the errata sheet and date it.
9		9 You are signing same subject
10		10 to the changes you have noted on the
11		11 errata sheet, which will be attached to
12		12 your deposition.
13		13 It is imperative that you
14		14 return the original errata sheet to the
15		15 deposing attorney within thirty (30) da
16		16 of receipt of the deposition transcript
17		17 by you. If you fail to do so, the
18		18 deposition transcript may be deemed to
19		19 accurate and may be used in court.
20		20
21		21
		22
22		23
23		
24		24

474

Case_{1/22}22 NG NG No. P. Praga Character of 6

1			1			LAWYER'S NOTES
2		ERRATA	2	PAGE	LINE	
3			3			
4	PAGE	LINE CHANGE	4			
5			5			
6			6			
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8			8			
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22			22			
23			23			
24			24			

477

11/22/2006 Nisen, Perry (Linked)

ACKNOWLEDGMENT OF DEPONENT 1 2 I, _ _ _ _ , do hereby 3 certify that I have read the foregoing pages, $_{___}$ and that the same is a correct transcription of the answers given by me to the questions therein propounded, except for the corrections or changes in form or substance, if any, noted in the attached Errata Sheet. 10 ______ 11 12 Subscribed and sworn to before me this 13 ____ day of _____ / 14 15 My commission expires: _ _ _ _ _ _ _ _ 16 17 Notary Public 18 19 20 21 23 24

EXHIBIT I

AZMI NABULSI, JANUARY 24, 2007

```
Page 3
                                                 Page 1
                                                          1 PRESENT:
          UNITED STATES DISTRICT COURT
                                                               CHOATE, HALL & STEWART LLP,
                                                         2
         FOR THE DISTRICT OF MASSACHUSETTS
 2
                                                         3
                                                               (Two International Place,
 3
                                                         4
                                                               Boston, Massachusetts 02110,
 4
                                                         5
                                                               617-248-5000), by:
 5 JOHN HANCOCK LIFE INSURANCE
                                                               MR. JOSEPH H. ZWICKER,
                                                         6
 6 COMPANY, JOHN HANCOCK VARIABLE )
                                                         7
                                                               jzwicker@choate.com,
 7 LIFE INSURANCE COMPANY and
                                                                 appeared on behalf of the Plaintiffs;
                                                         8
 8 MANULIFE INSURANCE COMPANY
                                                         9
 9 (f/k/a INVESTORS PARTNER
                                                         10
                                                               MUNGER, TOLLES & OLSON LLP,
10 INSURANCE COMPANY),
                                                               (355 South Grand Avenue, 35th Floor,
                         ) Civil Action No.
                                                         11
            Plaintiffs,
11
                                                              Los Angeles, California 90071-1560,
                        ) 05-11150-DPW
                                                         12
12
                                                         13
                                                               213-683-9276), by:
13 ABBOTT LABORATORIES,
                                                               MR. GREGORY D. PHILLIPS,
                                                         14
14
            Defendant.
                                                               gregory.phillips@mto.com,
                                                         15
15
          THE VIDEOTAPED DEPOSITION OF
                                                         16
                                                                 appeared on behalf of the Defendant
16
                                                        17
                                                                 and the Deponent.
               AZMI NABULSI
17
                                                        18
18
                                                        19 VIDEOTAPED BY:
19
              January 24, 2007
                                                        20
                                                              ERIC CAMPBELL,
20
                                                                 Esquire Deposition Services.
                                                        21
21
                                                        22
22
                                                        23
23
                                                        24 REPORTED BY: CORINNE T. MARUT, C.S.R. No. 84-1968
24
                                                                                                         Page 4
                                                Page 2
                                                              THE VIDEOGRAPHER: Good morning. We are on
                                                         2 the record at 9:00 a.m. Today's date is
2
                                                         3 January 24, 2007.
3
       The videotaped deposition of AZMI NABULSI,
                                                                  Here begins the videotaped deposition of
                                                           Dr. Azmi Nabulsi, taking place in Lincolnshire,
 5 called by the Plaintiffs for examination, taken
 6 pursuant to the Federal Rules of Civil Procedure of
                                                         6 Illinois.
 7 the United States District Courts pertaining to the
                                                                  This deposition is being taken in the
8 taking of depositions, taken before CORINNE T.
                                                           matter of John Hancock Life Insurance Company,
                                                           et al. vs. Abbott Laboratories.
9 MARUT, C.S.R. No. 84-1968, a Notary Public within
                                                                  Will counsel please state their names
10 and for the County of DuPage, State of Illinois,
                                                        10
11 and a Certified Shorthand Reporter of said state,
                                                        11 for the record.
                                                              MR. ZWICKER: For the Plaintiffs, Joseph
12 at the Marriott Lincolnshire Resort, 10 Marriott
13 Drive, Lincolnshire, Illinois, on the 24th day of
                                                        13 Zwicker, Choate, Hall & Stewart, Boston,
14 January, A.D. 2007, commencing at 9:00 a.m.
                                                        14 Massachusetts.
                                                        15
                                                              MR. PHILLIPS: For Abbott Laboratories and for
15
                                                        16 the witness, Dr. Azmi Nabulsi, Greg Phillips,
16
                                                        17 Munger, Tolles & Olson, LLP, Los Angeles,
17
                                                        18 California.
18
                                                        19
                                                              THE VIDEOGRAPHER: Thank you, counsel.
19
                                                                 Will the Court Reporter now please swear
                                                        20
20
                                                        21 in the witness.
21
                                                        22
                                                                   (WHEREUPON, the witness was duly
22
                                                        23
                                                                    sworn.)
23
                                                        24
                                                              MR. ZWICKER: May I proceed?
```

AZMI NABULSI, JANUARY 24, 2007

Page 291 Page 289 No. 34, for identification, as of 1 1 were later in development. 01-24-2007.) 2 BY MR. ZWICKER: MR, ZWICKER: Before the witness is Nabulsi --Q. And they were tracked closely. True? 3 3 MR. PHILLIPS: I'm sorry. I seem to have your 4 MR. PHILLIPS: Objection to the form. 5 BY THE WITNESS: MR, ZWICKER: No, you don't. 6 A. As others. MR. PHILLIPS: Oh, okay. 7 7 BY MR. ZWICKER: MR. ZWICKER: I just wrote on it. 8 Q. Have we looked at a single document MR. PHILLIPS: Okay. 9 today describing the MMPI competitors that did not 9 10 include a reference to marimastat and prinomastat, MR. ZWICKER: Before the witness is Nabulsi 10 11 Exhibit No. 34, which is a memo dated August 10, 11 to your recollection? 12 2001 from Steven K. Davidsen to Perry Nisen. MR. PHILLIPS: Objection to the form. The 12 13 BY MR. ZWICKER: 13 documents speak for themselves. Q. Dr. Nabulsi, do you recognize this 14 14 BY THE WITNESS: 15 document? A. If I recollect the documents I have seen 15 A. I believe I have seen a version of this, 16 have these two compounds in them. 16 (WHEREUPON, a certain document was 17 yes. 17 marked Nabulsi Deposition Exhibit 18 Q. What's the subject matter of this 18 No. 33, for identification, as of 19 document? 19 MR. PHILLIPS: Objection to the form. It 20 1-24-2007.) 20 21 speaks for itself. The document speaks for itself. MR. ZWICKER: The record should reflect that 21 22 BY MR. ZWICKER: 22 before the witness is Nabulsi Exhibit No. 33, which Q. Put it this way. What -- what do you 23 is a letter from Daphne Pals at Abbott to Steven 24 understand the discussion about 518 that is 24 Blewitt at Hancock and it's dated September 20, Page 292 Page 290 1 disclosed in this document to be? 1 2001. MR. PHILLIPS: Objection to the form. 2 BY MR. ZWICKER: Dr. Nabulsi, in light of Mr. Zwicker's 3 Q. Do you see that? 3 4 question, you know, you have the right or the A. Yes. ability to read the document if you so desire. Q. You're not copied on the letter. Have MR. ZWICKER: Oh, absolutely. 6 you ever seen it before? BY THE WITNESS: 7 A. I'm looking at it to be able to answer O. You testified earlier today that you 8 your question here. 9 learned that ABT-518 had been terminated in May or Okay. 10 10 early June of 2001, correct? 11 BY MR. ZWICKER: 11 A. Correct. Q. I think the question pending was: Do O. Do you know why it was that Abbott did 12 13 you recall the discussion around 518 that's set 13 not inform Hancock until September 20 --14 forth in this document? 14 A. No. These discussions are the same type of Q. - of the fact that ABT-518 had been 15 15 16 discussions and understandings and beliefs that the 16 terminated? team had across the time period that we've been MR. PHILLIPS: Objection to the form; assumes 17 18 discussing in the development of 518. 18 facts not in the record. Q. So, the document reflects the project 19 BY THE WITNESS: 19 20 team's view that development of 518 should continue A. I had no interaction regarding the 20 21 notwithstanding the ASCO results? 21 Hancock. Hancock deal as a whole was invisible to A. Correct. 22 22 me. Q. Did Dr. Nisen share that view? 23 (WHEREUPON, a certain document was 23 MR. PHILLIPS: Objection to the form, as 24 marked Nabulsi Deposition Exhibit

AZMI NABULSI, JANUARY 24, 2007

Page 297	Page 299
	1 INDEX
1 STATE OF ILLINOIS) 2) SS:	2 AZMI NABULSI EXAMINATION
1	3 BY MR, ZWICKER 5
T CORDERE WARRED COD No. 94 1069	4
D. 141 - Alberto County of	5
C D D Ctata of Illinois and a Cartified	6 EXHIBITS
7 Shorthand Reporter of said state, do hereby	7 NABULSI DEPOSITION EXHIBIT MARKED FOR ID
J	8 No. 135
8 certify: 9 That previous to the commencement of the	9 No. 256
Cut with any the mitmagn room duly:	10 No. 364
I	11 No. 4
11 sworn to testify the whole truth concerning the 12 matters herein;	12 No. 5
13 That the foregoing deposition transcript	13 No. 6 87
	14 Nos. 7 and 8 96
14 was reported stenographically by the, was thereafter 15 reduced to typewriting under my personal direction	15 No. 9 98
16 and constitutes a true record of the testimony	16 Nos. 10 and 11126
17 given and the proceedings had;	17 Nos. 12 and 13138
18 That the said deposition was taken	18 No. 14164
19 before me at the time and place specified;	19 No. 15167
20 That the reading and signing by the	20 No. 16181
21 witness of the deposition transcript was agreed	21 No. 17191
22 upon as stated herein;	22 No. 18200
23 That I am not a relative or employee or	23 No. 19218
24 attorney or counsel, nor a relative or employee of	24
Page 298	Page 300
1 such attorney or counsel for any of the parties	1 EXHIBITS
2 hereto, nor interested directly or indirectly in	2 NABULSI DEPOSITION EXHIBIT MARKED FOR ID
3 the outcome of this action.	3 No. 20220
4 IN WITNESS WHEREOF, I do hereunto set my	4 No. 21222
5 hand and affix my seal of office at Chicago,	5 No. 22238
6 Dlinois, this 30th day of January, 2007.	6 No. 23241
7	7 No. 24243
8	8 No. 25248
9	9 No. 26250
10 CORINNE T. MARUT, C.S.R. No. 84-1968	10 No. 27269
Notary Public, DuPage County, Illinois.	11 No. 28276
12 My commission expires August 15, 2009.	12 No. 29278
13	13 Nos. 30 and 31280
14	14 No. 32283
15	15 No. 33289
16	16 No. 34291
17	17
18	18
19	19
20	20
21	21
22	22
23	23
•	24

EXHIBIT J

Redacted

Confidential

EXHIBIT K

Redacted

Confidential

EXHIBIT L

Redacted **Confidential**

EXHIBIT M

Guzelsu, Ozge

From:

Troake, Karen Collari [KTroake@choate.com]

Sent:

Thursday, April 19, 2007 6:45 AM

To:

Lorenzini, Eric

Cc:

Abati, Richard; Weinberger, Jeffrey; Phillips, Gregory; Davis, Brian; Zwicker, Joseph H.

Subject: RE: Depositions of Dr. Bukofzer and Ms. Meyer

Eric

This will confirm that Abbott may have until Friday, April 27 to respond to Hancock's Requests for Admissions.

Additionally, please confirm at your earliest convenience whether you can proceed with the 30 (b)(6) deposition (Topics 10-21 as they relate to the Bond Investment Committee) of Wilma Davis on the morning of April 24.

Regards.

Karen Collari Troake

CHOATE

Choate, Hall & Stewart LLP Two International Place Boston, MA 02110 t 617-248-5192 f 617-248-4000 ktroake@choate.com www.choate.com

----Original Message----

From: Lorenzini, Eric [mailto:Eric.Lorenzini@mto.com]

Sent: Wednesday, April 18, 2007 7:48 PM

To: Zwicker, Joseph H.

Cc: Troake, Karen Collari; Abati, Richard; Weinberger, Jeffrey; Phillips, Gregory; Davis, Brian

Subject: Depositions of Dr. Bukofzer and Ms. Meyer

Joe,

I am responding to your request to reschedule the depositions of Dr. Bukofzer and Ms. Meyer due to your scheduling conflicts in another matter.

To accommodate your request, we can reschedule the deposition of Dr. Bukofzer to May 9. Please let us know promptly whether you would like to make that change. Dr. Bukofzer leaves on a trip shortly thereafter, so is unavailable the rest of May. We disagree with your contention that any more than seven hours is necessary for Dr. Bukofzer's deposition, since the Rule 30(b)(6) deposition topics on which he is the designated witness overlap completely with the topics on which you would question him in his individual capacity

I informed Karen this morning that Ms. Meyer is available on April 24, the date we originally discussed, but she said that date is no longer convenient for you because you have a preliminary injunction hearing in Boston on April 25. Therefore, we propose rescheduling the deposition for May 22, 23, 24, or 25, dates on which Ms. Meyer and Abbott counsel are currently available. I am sorry, but we are not able to accommodate your preference for scheduling the depositions of Dr. Bukofzer and Ms. Meyer on consecutive days (e.g., Ms. Meyer is out of town the week of May 7). Please let me promptly whether you prefer May 22, 23, 24, or 25.

Eric

Confidentiality Statement:

This Message is transmitted to you by the law firm of Choate, Hall & Stewart LLP. The substance of this message, along with any attachments, may be confidential and legally privileged. If you are not the designated recipient of this message, please destroy it and notify the sender of the error by return e-mail or by calling 1-800-520-2427.

Under regulations of the Treasury Department, we are required to include the following statement in this message: Any advice contained herein (or in any attachment hereto) regarding federal tax matters was not intended or written by the sender to be used, and it cannot be used by any taxpayer, for the purpose of avoiding penalties that may be imposed on the taxpayer.

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